

# **Exploring Ranking Theory as an Alternative Model for Human Uncertainty Representation** UNIVERSITY OF WATERLOO Hanbin Go & Britt Anderson



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# What is "Ranking Theory"?

 A normative belief revision model that represents degrees of belief and disbelief, as an alternative to probability theory $^{1,2}$ .

• To investigate ranking theory as an alternative to probabilistic approaches, four experiments (E1:E4) examined the relationship between ranking functions and subjective probabilities.

## Metrics of beliefs

(1)

(2)

(3)

(4)

(7)

(9)

(10)

A grading of **disbelief** (or surprise) expressed by *negative* ranking function,  $\kappa$  : (scale from 0 to  $\infty$ )<sup>1,2</sup>

 $\kappa(A) = 0 : A$  is not disbelieved (not surprising)

 $\kappa(A) > 0$ : A is disbelieved (surprising)

 $\kappa(A) = \infty : A$  is considered impossible



Participants' use of negative ranking functions to express disbelief was

consistent across different propositions

Disbelief thresholds represented by subjective probability vary depending on context

### Relationship between subjective probability and negative ranks for three questionnaires (E1: N = 168)



 $\kappa(A) = 0$  or  $\kappa(\overline{A}) = 0$  : [the law of negation]

A grading of **belief** expressed by **positive** ranking function,  $\beta$  : (scale from 0 to  $\infty$ )<sup>1,2</sup>

 $\beta(A) = \kappa(\overline{A})$ : Belief in A equals disbelief in not-A

Integrating *positive* and *negative* ranks into a *two-sided* ranking function  $\tau$  expresses **belief and disbelief** at once: (scale from -  $\infty$  to  $\infty$ )<sup>1,2</sup>

 $\tau(A) = \beta(A) - \kappa(A) = \kappa(\overline{A}) - \kappa(A)$ 

**Probability-Rank translation**  $\kappa(A) = \log_b P(A) - \log_b \max_{S \in O} P(S), \ b \in (0, 1)$  $P(A) + P(\bar{A}) = 1$  $\tau(A) = \kappa(\bar{A}) - \kappa(A) = \log_b(1 - P(A)) - \log_b P(A)$  $\tau(A) = \log_{b}(\frac{1 - P(A)}{P(A)})$ : [probability to two-sided rank]  $P(A) = \frac{1}{h^{\tau(A)} + 1}$ : [two-sided rank to probability]

E1:E3 Methods - unknown objective probability

Grade your degrees of **disbelief**:

Fig. 1. Participants assigned numerical values to their degrees of disbelief toward a set of propositions presented in a short questionnaire with unknown objective probabilities. This figure shows participants' disbelief towards temperature ranges for Boston's daytime high temperatures during September. Experiment 2 replicated the findings from Experiment 1's consistent negative ranking values towards propositions, represented from a scale from 0 to  $\infty$ . Participants found the temperature range of 11 to 20°C to be least surprising.

Majority of participants obey the law of negation and can use positive ranking functions to grade degrees of belief



Relationship between subjective probability and two-sided ranks (E3: N = 200)





E4 Methods - learning task: modelling opponent behaviour through probability manipulation





Fig. 5. Participants assigned numerical values to their degrees of disbelief towards each room after playing a hide-and-seek game with opponents having objective probability distributions of 100-0-0, 70-30-0, 80-15-5, and uniform. The inclusion of the p = 0 room condition aimed to elicit maximum surprise. The results reveal a consistent pattern indicating a logarithmic relationship, particularly prominent in the 80-15-5 distribution condition. It is worth noting that while some participants reported a p = 0, a distinct group of participants exhibited differing levels of surprise towards the p = 0 room condition, ranging from minimal surprise (or not surprising) to extreme surprise (or was considered impossible).

#### References

Spohn, W. (2013). A ranking-theoretic approach to conditionals. *Cognitive Science*, 37(6), 1074-1106. Skovgaard-Olsen, N. (2016). Ranking theory and conditional reasoning. *Cognitive Science*, 40(4), 848-880. Tiede, K. E., Henninger, F., & Kieslich, P. J. (2022). Revisiting the Open Sampling format: Improving risky choices through a novel graphical representation. Psychonomic Bulletin & Review, 1-12. Supported by an NSERC Award to B.A.

Fig. 6. A set of probability vectors forms the probability simplex,  $\Delta^{n-1}$ , a generalized triangle. The translated ranks were closer to the objective probabilities (**115 correct responses**) compared to subjective probabilities (**61 correct responses**). Subjective probability responses provided by participants were less accurate compared to degrees of disbelief/surprise when mapped onto the simplex, enabling a direct comparison with objective probability. Note that the size of the points represents the frequency of occurrence in the respective x, y, z coordinates.